



COPY OF PAPERS  
ORIGINALLY FILED

RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Takaaki AMANO, et al.  
Serial No : 09/863,882  
Filed : May 23, 2001  
Title : TRANSACTION PROCESSING METHOD AND...  
Group Art Unit : 2162

January 29, 2002

Attn: Customer Corrections Division  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
MAR 05 2002  
Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

We received the filing receipt on the above-referenced case, copy enclosed,  
wherein the **CITY OF AN APPLICANT** was incorrectly listed as: **KAMAGAWA**. Please change the  
same to read: **KANAGAWA**.

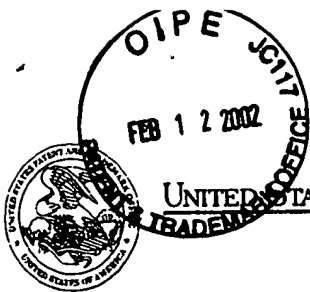
Any fee, due as a result of this paper may be charged on Deposit Account No. 50-1290.

**ANY FEE DUE WITH THIS PAPER, NOT FULLY  
COVERED BY AN ENCLOSED CHECK, MAY BE  
CHARGED ON DEPOSIT ACCOUNT NO. 501290**

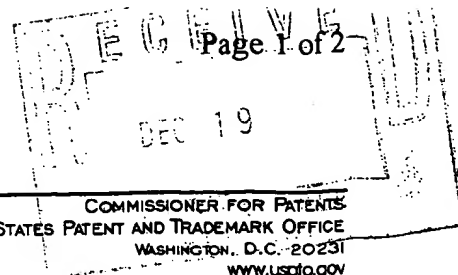
Respectfully submitted,

Samson Helfgott  
Reg. No. 23,072

Rosenman & Colin LLP  
15th Floor  
575 Madison Avenue  
New York, New York 10022-2585  
Tel. (212) 940-6330  
Docket No.: 100809-16256(SCET 18.691)  
SH:aju:FILREC



COPY OF PAPERS  
ORIGINALLY FILED



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/863,882	05/23/2001	2162	954	100809- 16256(SCET 18.691)	12	9	4

026304  
ROSENMAN & COLIN LLP  
575 MADISON AVENUE  
NEW YORK, NY 10022-2585

CONFIRMATION NO. 9462  
UPDATED FILING RECEIPT



Date Mailed: 12/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Takaaki Amano, Tokyo, JAPAN;  
Yoshiharu Maeda, ~~Kanagawa~~, JAPAN;  
KANAGAWA

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

JAPAN 2000-153227 05/24/2000  
JAPAN 2001-151501 05/21/2001

**If Required, Foreign Filing License Granted 07/31/2001**

**Projected Publication Date: 03/21/2002**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Transaction processing method and transaction processing system

**Preliminary Class**



705

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

 16  
 114  
 3-11-02


Bib Data Sheet

CONFIRMATION NO. 9462

<b>SERIAL NUMBER</b> 09/863,882	<b>FILING DATE</b> 05/23/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2162	<b>ATTORNEY DOCKET NO.</b> 100809-16256 (SCET 18.691)
------------------------------------	---	---------------------	-------------------------------	---

**APPLICANTS**

Takaaki Amano, Tokyo, JAPAN;  
 Yoshiharu Maeda, Kanagawa, JAPAN;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

JAPAN 2000-153227 05/24/2000  
 JAPAN 2001-151501 05/21/2001

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

\*\* 07/31/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 12	<b>TOTAL CLAIMS</b> 9	<b>INDEPENDENT CLAIMS</b> 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

026304

**TITLE**

Transaction processing method and transaction processing system

<b>FILING FEE RECEIVED</b> 954	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-----------------------------------	---	---